**University of Mississippi Research Center/Institute**

**Reauthorization Review Form**

# SECTION A:

|  |  |
| --- | --- |
| Research Center/Institute Name |  |
| Director Name |  |

**I. Center Faculty and Staff**

Provide a list of center faculty, staff members, and their position titles. Attach a sheet if necessary.

|  |  |  |
| --- | --- | --- |
| Name | School/College | Position |
|  |  |  |
|  |  |  |
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**II: Response to Previous Assessment**

Briefly address the VCRSP’s written feedback for the center’s most recent biennial report and any previous reauthorization review report.

**SECTION B: Center Performance**

**I. Performance at a glance**

Fill out the following grids. An opportunity to elaborate on this information will be offered in the next prompt. (Alter FY years in headers as appropriate for current year).

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | FY21 Target | FY20 Target | FY19 Actual | FY18 Actual |
| Number of Proposals Submitted for External, Sponsored Funding |  |  |  |  |
| Number of Competitive Awards Received |  |  |  |  |
| Dollar Value of Competitive Awards Received |  |  |  |  |
| Number of Patents Applied For |  |  |  |  |
| Number of Patents Achieved |  |  |  |  |
| Number of Publications |  |  |  |  |
| Number of Speaking Events |  |  |  |  |
| Number of Joint Research Engagements / Collaborations-UM |  |  |  |  |
| Number of Joint Research Engagements / Collaborations- Non-UM |  |  |  |  |
| Number of Other Activities (Instruction, Service, etc.) |  |  |  |  |
|  |  |  |  |  |
| Other Non-Research Donations Income (Dollars) |  |  |  |  |
| Other Non-Research Competitive Grants (Dollars) |  |  |  |  |
| Internal Grants Income (Dollars) |  |  |  |  |
| Other Consultancy/Contract Non-Research Income (Dollars) |  |  |  |  |
| Other External Income (e.g. Conference Income in Dollars) |  |  |  |  |
|  |  |  |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | FY17 Actual | FY16 Actual | FY15 Actual | FY14 Actual |
| Number of Proposals Submitted for External, Sponsored Funding |  |  |  |  |
| Number of Competitive Awards Received |  |  |  |  |
| Dollar Value of Competitive Awards Received |  |  |  |  |
| Number of Patents Applied For |  |  |  |  |
| Number of Patents Achieved |  |  |  |  |
| Number of Publications |  |  |  |  |
| Number of Speaking Events |  |  |  |  |
| Number of Joint Research Engagements / Collaborations-UM |  |  |  |  |
| Number of Joint Research Engagements / Collaborations- non-UM |  |  |  |  |
| Number of Other Activities (Instruction, Service, etc.) |  |  |  |  |
|  |  |  |  |  |
| Other Non-Research Donations Income (Dollars) |  |  |  |  |
| Other Non-Research Competitive Grants (Dollars) |  |  |  |  |
| Internal Grants Income (Dollars) |  |  |  |  |
| Other Consultancy/Contract Non-Research Income (Dollars) |  |  |  |  |
| Other External Income (e.g. Conference Income in  Dollars) |  |  |  |  |
|  |  |  |  |  |

#### II. Expository Report on Center Performance

Attach an expository report no longer than forty pages (double spaced, size 12 font) addressing the following points. (Additional materials may be appended as attachments to the forty-page document.)

1. **Achievement of Goals and Objectives.** Summarize the major achievements and strategies of the center since its inception (or last reauthorization review). Reference the strategic plan, past reviews, and external reviews as necessary. Comment on the following, as appropriate:
   1. Research quality and quantity
   2. Publications
   3. Speaking events
   4. Collaborations
   5. Interdisciplinary and multidisciplinary work
   6. Entrepreneurial activity; patents; innovation
   7. Success in generating external research income; growth in external grants
   8. Evidence of the center’s impact outside of the university
   9. Any workshops, retreats, etc.
   10. Establishment of new committees/boards
   11. Other activities (instruction, service, etc.)
2. **Success Indicators.** Summarize the major accomplishments of the center’s faculty/staff members. For example:
   1. Membership in prestigious societies/academies
   2. Research fellowships or grants
   3. Awards/distinctions
   4. Committee memberships
   5. Other notable memberships, awards, etc.
3. **Benchmark Attainment.** Provide information about the research center or institute’s performance during the past five years. How is the center making progress toward the benchmarks described in the strategic plan?
4. **Diversity.** Describe the efforts undertaken by the center since inception to address underrepresented groups, i.e. women in senior academic positions, minorities, and people with disabilities. Have strategies been implemented to recruit and develop members of these groups?
5. **Other.** Provide any additional information relevant to the reauthorization review process.

**Section C: Center Continuation**

I. Strategic Plan

If the center seeks continuation, provide a strategic plan for the operation of the center for the next six years. A successful strategic plan will focus primarily on why the center is needed, providing a persuasive argument for the center’s establishment and its potential to improve the university. Accordingly, strategic plans should answer the following questions: How will the center positively align with the UM’s broader strategic plan? Why is the focus area critically important to the university’s success? Will the center be a leader among peer institutions? Will it attract exceptionally talented faculty and students? Will it have a broad impact?

A successful strategic plan will also address the following:

* ***Opportunity.*** The plan will give a detailed explanation of any existing favorable conditions that would be assets to the center, including funding resources, infrastructure, and human capital.
* ***Mission, Vision, and Objectives.*** The plan will identify the center’s mission and vision and will set forth measurable objectives/goals.
* ***Benchmarks and Timeframe.*** The plan will specify how the center’s progress can be monitored and establish a general timeframe for accomplishing the goals set forth in the “Mission, Vision, and Objectives” section.
* ***Accountability.*** The plan will provide a sunset/reauthorization provision (normally six years) and a commitment statement affirming the center’s ability to comply with the self-reported biennial review, the reauthorization review, and discretionary comprehensive reviews.
* ***Administration.*** The plan will propose by-laws and administrative plans, list the names of all involved faculty members, and supply an estimated operational budget.

The format of the strategic plan may follow UM’s broader strategic plan. (UM Flagship Forward strategic plan accessible at <http://flagshipforward.olemiss.edu/>).

**SECTION D: Budget**

1. **Budget (Enter the dollar number and FTE for the past six years.)**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | FY21 Target | FTE | FY20 Target | FTE | FY19 Actual | FTE | FY18 Actual |
| INCOME |  |  |  |  |  |  |  |
| Funds carried forward, June 30 (from 10- and 25- accounts) |  |  |  |  |  |  |  |
| Sponsored funds carried forward at June 30 (less construction) |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| New Funds from: |  |  |  |  |  |  |  |
| Fund 10 (State or UM) |  |  |  |  |  |  |  |
| Fund 30 (Sponsored) |  |  |  |  |  |  |  |
| Teaching Release - Director |  |  |  |  |  |  |  |
| Teaching Release - Others |  |  |  |  |  |  |  |
| TOTAL INCOME |  |  |  |  |  |  |  |
| EXPENDITURES |  |  |  |  |  |  |  |
| Personnel (Salaries + Fringe) |  |  |  |  |  |  |  |
| Senior Personnel, including Director |  |  |  |  |  |  |  |
| Other Research Personnel |  |  |  |  |  |  |  |
| Administrative Support |  |  |  |  |  |  |  |
| Research Fellows |  |  |  |  |  |  |  |
| Graduate Assistants |  |  |  |  |  |  |  |
| Other Grad Students, Research |  |  |  |  |  |  |  |
| Undergrad Students, Research |  |  |  |  |  |  |  |
| Personnel Total |  |  |  |  |  |  |  |
| *Programmatic Travel-* |  |  |  |  |  |  |  |
| Director |  |  |  |  |  |  |  |
| Research Staff |  |  |  |  |  |  |  |
| Administrative Staff |  |  |  |  |  |  |  |
| *Conference Travel-* |  |  |  |  |  |  |  |
| Director |  |  |  |  |  |  |  |
| Research Staff |  |  |  |  |  |  |  |
| Administrative Staff |  |  |  |  |  |  |  |
| Students |  |  |  |  |  |  |  |
| Travel Total |  |  |  |  |  |  |  |
| Supplies |  |  |  |  |  |  |  |
| Contractual |  |  |  |  |  |  |  |
| Equipment |  |  |  |  |  |  |  |
| Other Total |  |  |  |  |  |  |  |
| Grand Total All Expenditures |  |  |  |  |  |  |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | FY17 Actual | FTE | FY16 Actual | FTE | FY15 Actual | FTE | FY14 Actual |
| INCOME |  |  |  |  |  |  |  |
| Funds carried forward, June 30 (from 10- and 25- accounts) |  |  |  |  |  |  |  |
| Sponsored funds carried forward at June 30 (less construction) |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| New Funds from: |  |  |  |  |  |  |  |
| Fund 10 (State or UM) |  |  |  |  |  |  |  |
| Fund 30 (Sponsored) |  |  |  |  |  |  |  |
| Teaching Release - Director |  |  |  |  |  |  |  |
| Teaching Release - Others |  |  |  |  |  |  |  |
| TOTAL INCOME |  |  |  |  |  |  |  |
| EXPENDITURES |  |  |  |  |  |  |  |
| Personnel (Salaries + Fringe) |  |  |  |  |  |  |  |
| Senior Personnel, including Director |  |  |  |  |  |  |  |
| Other Research Personnel |  |  |  |  |  |  |  |
| Administrative Support |  |  |  |  |  |  |  |
| Research Fellows |  |  |  |  |  |  |  |
| Graduate Assistants |  |  |  |  |  |  |  |
| Other Grad Students, Research |  |  |  |  |  |  |  |
| Undergrad Students, Research |  |  |  |  |  |  |  |
| Personnel Total |  |  |  |  |  |  |  |
| *Programmatic Travel-* |  |  |  |  |  |  |  |
| Director |  |  |  |  |  |  |  |
| Research Staff |  |  |  |  |  |  |  |
| Administrative Staff |  |  |  |  |  |  |  |
| *Conference Travel-* |  |  |  |  |  |  |  |
| Director |  |  |  |  |  |  |  |
| Research Staff |  |  |  |  |  |  |  |
| Administrative Staff |  |  |  |  |  |  |  |
| Students |  |  |  |  |  |  |  |
| Travel Total |  |  |  |  |  |  |  |
| Supplies |  |  |  |  |  |  |  |
| Contractual |  |  |  |  |  |  |  |
| Equipment |  |  |  |  |  |  |  |
| Other Total |  |  |  |  |  |  |  |
| Grand Total All Expenditures |  |  |  |  |  |  |  |

II. Equipment

Attach an itemized list of resources (shared and unshared).

**III. Narrative**

Please provide in narrative form any additional information relevant to the center’s budget.

**SECTION E: CERTIFICATION**

**We, the undersigned, certify that the information submitted is complete and accurate to the best of our knowledge.**

**SIGNATURES**:

Research Center Director

Date:

Department Chair/Director

Date:

School/College Dean

Date:

Vice Chancellor for Research and Sponsored Programs

Date: